

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/739,143

Applicant: Filed:

Koichi Hata, et al. December 18, 2000

Title:

METHOD AND APPARATUS FOR IMAGE DATA PROCESSING

AND COMPUTER PROGRAM PRODUCT USED THEREIN

TC/A.U.:

2178 Gregory J. Vaughn

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

Statement

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,515 Attorney for Applicants

LEA/dmw

Enclosures: PTO Form 1449

(4) References Search Report Transmittal Form

Dated: December 28, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 28, 2004.

Donna M. Wellings

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e for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known				
Application Number	09/739,143			
Filing Date	December 18, 2000	-		
First Named Inventor	Koichi Hata, et al.			
Art Unit	2178			
Examiner Name	Gregory J. Vaughn			
Attorney Docket No.	MAT-8070US			

U.S. PATENT DOCUMENTS					
_		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-5,933,249 A	08/03/1999	Norio Shimura, et al.	
		US-5,555,362 A	09/10/1996	Akio Yamashita, et al.	
		US-			
		US-			
		US-			
_		US-			
		US-			-
		US-			

FOREIGN PATENT DOCUMENTS						
5		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	76
		EP 0 712 088 A2	05/15/1996	CANON KABUSHIKI KAISHA		
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Examiner Date Considered			
	Examiner	Date	
Considered	Signature		•
	Signature	Considered	

⁶Applicant is to place a check mark here if English language translation is attached.

"Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹ Applicant's unique citation designation number (optional).

2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

3 Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

6 Applicant is to place a check mark here if English language translation is attached.

Substitute for Form 1449B/PTO	Complete if Known			
	Application Number	09/739,143		
INFORMATION DISCLOSURE	Filing Date	December 18, 2000	_	
STATEMENT BY APPLICANT	First Named Inventor	Koichì Hata, et al.		
(Use as many sheets as necessary)	Art Unit	2178		
	Examiner Name	Gregory J. Vaughn		
SHEET 2 of 2	Attorney Docket No.	MAT-8070US		

J		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
,		"TRANSCODING INTERNET CONTENT FOR HETEROGENEOUS CLIENT DEVICES", John R. Smith, et al, CIRCUITS AND SYSTEMS, 1998. ISCAS '98. PROCEEDING OF THE 1998 IEEE INTERNATIONAL SYMPOSIUM ON MONTEREY, CA, USA 31 MAY - 3 JUNE 1998, NEW YORK, NY, USA IEEE, US, 31 May 1998 (1998-05-31), pages 599-602, XP010289378, ISBN: 0-7803-4455-3.	
		European Search Report for EP 00 12 7540, dated November 19, 2004.	
		·	
Examiner Signature		Date Considered	

considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

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PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 (+4 references)

Application Number	09/739,143	
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Art Unit	2178	
Examiner Name	Gregory J. Vaughn	
Attorney Docket No.	MAT-8070US	

	E	NCL	OSURES (Check all that apply	1)		
Fee Transmittal Fo			Drawing(s) Licensing-related Papers		After Allowance Communication to TC	
Amendment/Reply After Final After Final Affidavits/De Extension of Time Express Abandonr Information Disclos Certified Copy of P Response to Missi	eclaration(s) Request nent Request sure Statement Priority Document(s)		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD		Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PtO-1449; Copy of European Search Report; Copy of (4) Cited References; Return Receipt Postcard	
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Firm Name RatnerP	restia	$\overline{}$				
Signature Printed Narpe L'awrence E. Ashery						
Date December 28, 2004 Registration No. 34,515						
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature Donna M. Wellings						
Typed or Printed Name	Donna M. Wellings		δ	Date	December 28, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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